



DEPARTMENT OF HOMELAND SECURITY
UNITED STATES SECRET SERVICE
WASHINGTON, D.C. 20223

Freedom of Information Act & Privacy Act Program
Communications Center
245 Murray Lane, S.W., Building T-5
Washington, D.C. 20223

Date: FEB 27 2019

Cyrus Farivar

Oakland, CA

File Number: 20141001

Dear Requester:

This is the final response to your Freedom of Information Act (FOIA) request, originally received by the United States Secret Service (Secret Service) on September 12, 2014, for information pertaining to the use of facial recognition technology by the USSS.

Enclosed are documents responsive to your request. In an effort to provide you with the greatest degree of access authorized by law, we have considered this material under the FOIA statute, Title 5 U.S.C. § 552. Pursuant to this Act, exemptions have been applied where deemed appropriate. The exemptions cited are marked below.

In addition, approximately 21 page(s) were released, and approximately 0 page(s) were withheld in their entirety. An enclosure to this letter explains the exemptions in more detail.

☒ If this box is checked, deletions were made pursuant to the exemptions indicated below.

Section 552 (FOIA)

<input type="checkbox"/> (b) (1)	<input type="checkbox"/> (b) (2)	<input type="checkbox"/> (b) (3) Statute:		
<input type="checkbox"/> (b) (4)	<input type="checkbox"/> (b) (5)	<input checked="" type="checkbox"/> (b) (6)	<input type="checkbox"/> (b) (7) (A)	<input type="checkbox"/> (b) (7) (B)
<input checked="" type="checkbox"/> (b) (7) (C)	<input type="checkbox"/> (b) (7) (D)	<input checked="" type="checkbox"/> (b) (7) (E)	<input type="checkbox"/> (b) (7) (F)	<input type="checkbox"/> (b) (8)

The following checked item(s) also apply to your request:

☒ Some documents originated with another government agency(s). These documents were referred to that agency(s) for review and direct response to you.

☐ Some documents, in our files, contain information furnished to the Secret Service by another government agency(s). These documents were referred to that agency(s) for review and direct response to you.

☒ Fees: In the processing of this FOIA request, no fees are being assessed.

☐ Other:

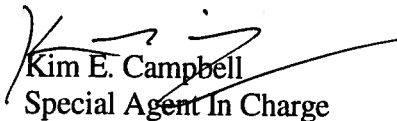
If you deem our decision an adverse determination, you may exercise your appeal rights. Should you wish to file an administrative appeal, your appeal should be made in writing and received within ninety (90) days of the date of this letter, by writing to: Freedom of Information Appeal, Deputy Director, U.S. Secret Service, Communications Center, 245 Murray Lane, S.W., Building T-5, Washington, D.C. 20223. If you choose to file an administrative appeal, please explain the basis of your appeal and reference the case number listed above.

Additionally, you have the right to seek dispute resolution services from the Office of Government Information Services (OGIS) which mediates disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. Please note that contacting the Secret Service's FOIA Program and/or OGIS is **not** an alternative to filing an administrative appeal and **does not** stop the 90-day appeal clock. You may contact OGIS at: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001. You may also reach OGIS via e-mail at ogis@nara.gov, telephone at 202-741-5770/toll free at (877) 684-6448, or facsimile at (202) 741-5769.

If you need any further assistance, or would like to discuss any aspect of your request, please contact our FOIA Public Liaison Kevin Tyrrell, at (202) 406-6370. Alternatively, you may send an e-mail to foia@uss.dhs.gov.

FOIA/PA File No. 20141001 is assigned to your request. Please refer to this file number in all future communication with this office.

Sincerely,


Kim E. Campbell

Special Agent In Charge

Freedom of Information Act & Privacy Act Officer

Enclosure:

☒ FOIA and Privacy Act Exemption List

**FREEDOM OF INFORMATION ACT
SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552**

Provisions of the Freedom of Information Act do not apply to matter that are:

- (b) (1) (A) specifically authorized under criteria established by an Executive Order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified pursuant to such Executive order;
- (b) (2) related solely to the internal personnel rules and practices any agency;
- (b) (3) specifically exempted from disclosure by statute (other than section 552b of this title), if that statute: (A)(i) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue, or (ii) establishes particular criteria for withholding or refers to particular types of matters to be withheld; and (B) is established after the date of enactment of the OPEN FOIA Act of 2009;
- (b) (4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b) (5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency; provided that the deliberative process privilege shall not apply to records created 25 years or more before the date on which the records were requested;
- (b) (6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b) (7) records or information compiled for law enforcement purposes, but only to the extent that the information: (A) could reasonably be expected to interfere with enforcement proceedings; (B) would deprive a person of a right to a fair trial or an impartial adjudication; (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy; (D) could reasonably be expected to disclose the identity of a confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of a record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source; (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law; (F) could reasonably be expected to endanger the life or physical safety of any individual;
- (b) (8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for regulation or supervision of financial institutions;
- (b) (9) geological and geophysical information and data, including maps, concerning wells.

**PRIVACY ACT
SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a**

The provisions of the Privacy Act do not apply to:

- (d) (5) material compiled in reasonable anticipation of civil action or proceeding;
- (j) (2) material reporting investigative efforts pertaining to enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) material is currently and properly classified pursuant to an Executive Order in the interest of national defense or foreign policy;
- (k) (2) material compiled during investigations for law enforcement purposes;
- (k) (3) material maintained in connection with providing protective services to the President of the United States or other individuals pursuant to section 3056 of Title 18;
- (k) (5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or for access to classified information, but only to the extent that the disclosure of such material would reveal the identity of the person who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to the September 27, 1975, under an implied promise that the identity of the source would be held in confidence;
- (k) (6) testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal service the disclosure of which would compromise the objectivity or fairness of the testing or examination process;

Memorandum


U.S. SECRET SERVICE

DATE: August 15, 2014 **FILE:** 144.100

REPLY TO
ATTN OF: SAIC – Information Resources Management Division (IRM)

SUBJECT: Universal Face Workstation (UFW) Support Request Response

TO: SAIC – Investigations Support Division (ISD)

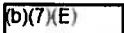


THRU: Assistant Director – Technology Development and Mission Support (AD-TEC) 
Assistant Director – Investigations (AD-INV)

Reference is made to your briefing dated March 18, 2014, requesting IRM support the UFW project.

(b)(7)(E)

The PSRT included representatives from within IRM. The representatives evaluated the technical and support requirements of the proposed project and discussed the supportability from their respective functional areas.

After reviewing all information presented, IRM can support the ISD project with the following provisions:

1. ISD will appoint a Project Lead for this effort.
2. IRM will provide a technical point of contact to assist the ISD Project Lead during the build-out phase of the project.
3. The UFW system will have a routine criticality level (e.g. this is defined as a non-mission critical project, meaning IRM will not provide a redundant server for backup and recovery).
4. IRM will provide a BIP virtual server system  The storage capacity for the production and failover servers will be  The test and development servers will have  each. IRM will be reimbursed for any storage beyond that initial allotment.

5. IRM will provide a static IP address, an SMTP email address, and connect the server to the AC/NCIC circuit.
6. IRM will perform only Operating System updates and patching on the BIP server.
7. ISD is responsible for the testing and certification of the UFW system.
8. ISD will perform quarterly server application patching/updates with the help of FBI/CJIS.
9. ISD is responsible for coordinating and administering UFW user training.
10. IRM does not have internal resources to provide Help Desk support.
11. IRM does not have internal resources to provide manual desktop installation services. An automated software installation solution is in development but fielding is not imminent.
12. IRM's ability to support the UFW system and provide system hardware is based on the requirements (b)(7)(E) IRM may require additional funding for application development, installation, or hardware procurement if system, licensing, or criticality requirements expand.

The next step in the process is for ISD's Project Lead to work with IRM in establishing a project charter. The project charter will contain more detailed cost and funding information. The IRM point of contact for this project is Mr. (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) email: (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

FBI

R. Christopher Stanley
Special Agent in Charge

APPROVED:


Assistant Director - TEC


Date


Assistant Director - INV


Date

Cc:
AD-INV
AD-TEC
IRMD Official File



United States Secret Service
Information Resources Management Division

Executive Summary: Universal Face Workstation (UFW) Project			
Requesting Organization	Investigative Support Division (ISD)		
Requestor POC	Special Agent	(b)(6);(b)(7)(C)	
Project Lead	Special Agent		
IRM POCs	Technical: PMO:	(b)(6);(b)(7)(C)	
Project Description	(b)(7)(E)		
Project Justification	This freeware will enable INV to perform its dual missions with greater accuracy and speed.		
System Users	Special Agents and Uniformed Division personnel.		
IRM Support Requirements	(b)(7)(E)		
Project Type (mark all that apply)			
X	New Project/Requirement	X	Procurement of COTS/GOTS Equipment
	Existing Hardware/Software Upgrade		In-house Application Development



United States Secret Service
Information Resources Management Division

Project Reviews (mark all that apply)			
	Project Request Reviewed by ITRC (Date Scheduled/Complete: None)		Product Insertion Request (Date Approved: None)
X	Technical Exchange Meeting (Date Scheduled/Complete: March 25, 2014)	X	IRM Project Support Review (Date Complete: April 9, 2014)
	Capacity Planning Checklist (Date Scheduled/Complete: None)		
Cost Estimate and Funding Source			
Project Phase	Cost Estimate (\$)	Funding Source	
Development / Procurement			
Sustainment			
Program Management			
Additional Funding Comments:	The test and development servers will have 100GB each. IRM will be reimbursed for any storage beyond that initial allotment.		
Additional Comments			
Minimal acquisition/procurement necessary. Need to purchase server, or potentially use existing one from within IRM or INV.			

Attachments:
Support Request
Support Request Response Memo

(b)(6);(b)(7)(C)

(ISD)

From: (b)(6) (b)(7)(C) (ISD)
Sent: Friday, October 17, 2014 9:27 AM
To: (b)(6) (b)(7)(C) (ISD)
Subject: FW: Reallocation of funds
Attachments: Servers.xlsx

(b)(6) (b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6) (b)(7)(C)

(D)

(C)

From: (b)(6) (b)(7)(C) (INV)
Sent: Thursday, June 12, 2014 12:41 PM
To: (b)(6) (b)(7)(C) (ISD)
Subject: RE: Reallocation of funds

From: (b)(6) (b)(7)(C) (ISD)
Sent: Thursday, June 12, 2014 12:12 PM
To: (b)(6) (b)(7)(C) (INV)
Cc: (b)(6) (b)(7)(C) (INV); (b)(6) (b)(7)(C) (ISD); (b)(6) (b)(7)(C) (ISD); (b)(6) (b)(7)(C) (INV)
Subject: Reallocation of funds

(b)(6) (b)(7)(C)

After today's meeting, we need to move the Director's funds (\$94,300) for the Facial Recognition Enhancement from O.C. 2500 (services) to OC 3100(equipment) to purchase the server that will run the facial recognition project. Thank you in advance.

(b)(6) (b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6) (b)(7)(C)

(D)

(C)

(b)(6),(b)(7)(C) (ISD)

From: (b)(6) (b)(7)(C) (ISD)
Sent: Friday, October 17, 2014 9:27 AM
To: (b)(6) (b)(7)(C) (ISD)
Subject: FW: Reallocation of funds
Attachments: Servers.xlsx

(b)(6) (b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6) (b)(7)(C) (D)
(C)

From: (b)(6) (b)(7)(C) (INV)
Sent: Thursday, June 12, 2014 12:41 PM
To: (b)(6) (b)(7)(C) (ISD)
Subject: RE: Reallocation of funds

From: (b)(6) (b)(7)(C) (ISD)
Sent: Thursday, June 12, 2014 12:12 PM
To: DIANNE TURNER (INV)
Cc: (b)(6) (b)(7)(C) (INV); (b)(6) (b)(7)(C) (ISD); DOUGLAS MEASE (ISD); JASON JUSTUS (INV)
Subject: Reallocation of funds

Dianne,

After today's meeting, we need to move the Director's funds (\$94,300) for the Facial Recognition Enhancement from O.C. 2500 (services) to OC 3100(equipment) to purchase the server that will run the facial recognition project. Thank you in advance.

(b)(6) (b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6) (b)(7)(C) (D)
(C)

(b)(6);(b)(7)(C) (ISD)

From: (b)(6) (b)(7)(C) (ISD)
Sent: Friday, October 17, 2014 9:31 AM
To: (b)(6) (b)(7)(C) (ISD)
Subject: FW: Facial Recognition
Attachments: daa-0563-2013-0001_sf115.pdf

(b)(6) (b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6) (b)(7)(C) (D)
(C)

From: (b)(6) (b)(7)(C) (WFO)
Sent: Friday, May 16, 2014 4:18 PM
To: (b)(6) (b)(7)(C) (CTR)
Cc: (b)(6) (b)(7)(C) (INV); (b)(6) (b)(7)(C) (ISD)
Subject: FW: Facial Recognition

(b)(6) (b)(7)(C)

Please see attached. I think we fit into daa-0563-2013-0006. Which is 75 years. This is what gets used for NCIC records I believe, unless we can pick one of the 10 options, but I don't see us fitting into those descriptions.

(b)(6) (b)(7)(C)

Special Agent
United States Secret Service
Des (b)(6) (b)(7)(C)
Cell

From: (b)(6) (b)(7)(C) (MNO)
Sent: Friday, May 16, 2014 3:36 PM
To: (b)(6) (b)(7)(C) (WFO)
Subject: RE: Facial Recognition

(b)(6);(b)(7)(C)

We are going to use a disposition authority that DHS approved for all components within DHS titled "Biometric with Limited Biographical Data." See the attached. Thanks!

(b)(6) (b)(7)(C)

Chief – Record Programs Management Branch (RPM)
U.S. Secret Service
Office of Administration

Management and Organization Division

(b)(6),(b)(7)(C)

From: (b)(6),(b)(7)(C) (WFO)
Sent: Friday, May 16, 2014 12:31 PM
To: (b)(6),(b)(7)(C) (MNO)
Cc: (b)(6),(b)(7)(C) (CTR); (b)(6),(b)(7)(C) (INV)
Subject: FW: Facial Recognition

(b)(6) (

Greetings again. We are trying to finalize a facial recognition program under the office of Investigations (b)(7)(E)

(b)(7)(E)

(b)(6),(b)(7)(C)

Special Agent
United States Secret Service
Des (b)(6),(b)(7)(C)
Cell

From: (b)(6),(b)(7)(C) (CTR)
Sent: Friday, May 16, 2014 12:23 PM
To: (b)(6),(b)(7)(C) (WFO)
Cc: (b)(6),(b)(7)(C) (INV)
Subject: Facial Recognition

Gentlemen,

We're trying to define the server storage requirement for the UFW system. I've spoken with (b)(6),(b)(7)(C) out at FBI/CJIS and he says that he recommends to agencies that (b)(7)(E) is a good start. The driving force behind storage capacity is an agency's retention requirement of the queries. (b)(7)(E)

(b)(7)(E)

Thanks,

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

USSS_IRM

M: (b)(6),(b)(7)(C)

W

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) (ISD)

From: (b)(6),(b)(7)(C) (ISD)
Sent: Friday, October 17, 2014 9:41 AM
To: (b)(6),(b)(7)(C) (ISD)
Subject: FW: Facial Recognition Presentation-follow up
Attachments: UFW System Requirements 20130520.pdf

(b)(6),(b)(7)(C)

Assistant to the Special Agent In Charge
Investigative Support Division
U.S. Secret Service
202-406-5773 (O)

(b)(6),(b)(7)(C) (D)
(C)

From: (b)(6),(b)(7)(C) (WFO)
Sent: Tuesday, March 18, 2014 1:41 PM
To: (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM)
Cc: (b)(6),(b)(7)(C) (IRM)
Cc: (b)(6),(b)(7)(C) (INV); (b)(6),(b)(7)(C) (ISD); (b)(6),(b)(7)(C) (IRM)
Subject: Facial Recognition Presentation-follow up

All,
Thank you for attending today's briefing by the FBI/CJIS personnel, and for asking all the questions you had. I thought it was a very productive meeting and I hope their answers were satisfying. Attached for your reference if needed, is the specifications for the Universal Facial Recognition software (UFW) that was mentioned during the presentation.

(b)(6),(b)(7)(C)

Special Agent
United States Secret Service
Investigative Support Division
Desk: (b)(6),(b)(7)(C)
Cell:

UFW SYSTEM REQUIREMENTS

Deployment Model		
	Standalone Installation	Network Installation
Description	A complete installation of Workstation and Server intended for users who need the whole system on their laptop.	In this model, the Workstation software is installed on multiple desktop/laptop systems in the office. All of these workstations will depend on a single instance of the Server software that is typically installed on a physically secure server inside a locked data center.

Universal Face Workstation (UFW) Requirements				
	Standalone Installation		Network Installation	
	Minimum	Recommended	Minimum	Recommended
Operating System	<ul style="list-style-type: none"> Windows XP / 7, CentOS or RHEL 5.6 (or greater)¹, Mac OS X (10.4 or greater) 			
CPU	2 GHz or faster 32-bit (IA-32)	2 GHz 64-bit (x86-64) processor	2 GHz or faster 32-bit (IA-32)	2 GHz or faster 64-bit (x86-64) processor
Memory	4 GB ²	16GB or greater ³	2 GB (2.5 GB for Windows 7) ²	8GB or greater ³
Hard Drive Space	8 GB of available space (does not include storage of face or case data)			
Graphics Hardware	Graphics processor that supports Java 3D 1.5.1			
Network	CJIS WAN connection required to submit and receive transactions		Network connectivity to BIP server	

Biometric Integration Platform (BIP) Server Requirements			
	Standalone Installation		Network Installation
			Minimum Recommended
Operating System	N/A		<ul style="list-style-type: none"> CentOS / RHEL 5.6 or greater¹ Windows Server 2008 R2⁴
CPU			2GHz 64-bit (x86-64) processor
Memory			4 GB 8GB or greater
Hard Drive Space			8 GB of available space (does not include storage of face or case data)
Graphics Hardware			Any graphics processor supporting X11 (recommended)
Network			Network connectivity to UFW workstations and CJIS WAN connection is required to submit and receive transactions

¹ UFW is designed to deploy to all widely used Linux distributions. These distributions have been tested and are currently supported.

² This recommendation assumes the machine WILL NOT be used for other activities beyond the scope of UFW / BIP.

³ This recommendation assumes the machine needs to be used for other activities beyond the scope of UFW / BIP.

⁴ The BIP has been proven to be deployable on Windows Server 2008 R2, but is untested to date.

(b)(6),(b)(7)(C) (ISD)

From: (b)(6),(b)(7)(C) (CTR)
Sent: Wednesday, November 12, 2014 10:22 AM
To: (b)(6),(b)(7)(C) (INV); (b)(6),(b)(7)(C) (ISD)
Cc: (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM); (b)(6),(b)(7)(C) (IRM)
Subject: Facial Recognition, Resource request document
Attachments: RESOURCE_REQUEST_FORM_V1.1.docx

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C), the IRM POC for the (b)(7)(E) has asked that you take a look at the attached "Resource Request Form" and see if you can populate it with anything you know about the technical requirements. It's a very thorough form, and I think much of it will be N/A.

Please reach out to him with questions. Once he gets this info we can proceed with finalizing a Charter and doing the work.

(b)(6),(b)(7)(C)

USSS IRM

M: (b)(6),(b)(7)(C)

W:

(b)(6),(b)(7)(C)

From: (b)(6),(b)(7)(C) (IRM)
Sent: Thursday, October 30, 2014 2:49 PM
To: (b)(6),(b)(7)(C) (CTR)
Subject: Resource request document

See attached.



Thanks,

(b)(6),(b)(7)(C)

Branch Chief - Enterprise Server Branch

em: (b)(6),(b)(7)(C)

HQ Phone: (b)(6),(b)(7)(C)

SSL Phone: (b)(6),(b)(7)(C)

Cell: (b)(6),(b)(7)(C)

This message is a private communication. This message and all attachments are a private communication sent by the U.S. Government and may be confidential. If you are not the intended recipient, you are hereby notified that any

disclosure, copying, distribution or use of the information contained in or attached to this message is strictly prohibited. Please notify the sender of the delivery error by replying to this message, and then delete it from your system.



(b)(6);(b)(7)(C) (ISD)

From: (b)(6);(b)(7)(C) (CTR)
Sent: Thursday, November 20, 2014 2:22 PM
To: (b)(6);(b)(7)(C) (ISD)
Subject: Facial Recognition Forward
Attachments: approval memo.pdf; Copy of Servers.xlsx; Request for Records Disposition Authority daa-0563-2013-0001_sf115.pdf; RESOURCE_REQUEST_FORM_V1 1.docx; Servers for Facial Recognition.PNG; UFW System Requirements 20130520.pdf; MOU-IPSFRP.pdf

(b)(6);(b)(7)(C)

Those attachments look like a pretty good list. I added one more.

Also, the FBI POC in West Virginia is:

(b)(6);(b)(7)(C)

He will be a good resource for you (b)(6);(b)(7)(C) and (b)(6);(b)(7)(C) or his appointed task lead (IRM).

(b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

USSS IRM

M: (b)(6);(b)(7)(C)

W:

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C) (ISD)

Sent: Thursday, November 20, 2014 2:06 PM

To: (b)(6);(b)(7)(C) (CTR)

Subject: Facial Recognition Forward

(b)(6);(b)(7)(C)

This is everything I have so far. Anything else you feel needs to be added, feel free.

(b)(6);(b)(7)(C)

U.S. Secret Service
Investigative Support Division
(202) 406-5773 (MAIN)
(202) 406-9011 (FAX)
(202) (b)(6);(b)(7)(C) (DESK)
(202) (b)(6);(b)(7)(C) (CELL)

(b)(6),(b)(7)(C) (ISD)

From: (b)(6),(b)(7)(C) (CTR)
Sent: Wednesday, December 03, 2014 11:23 AM
To: (b)(6),(b)(7)(C) (IRM)
Cc: (b)(6),(b)(7)(C) (INV); (b)(6),(b)(7)(C) (ISD)
Subject: RE: Universal Face Workstation (UFW) Project

Hi (b)(6),(b)(7)(C)

You and I have spoken before. I can swing by your office if you like because I am knowledgeable about the project, but I'm not the lead. (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) are the leads. I'm pretty sure you have already built the servers for this.

(b)(6),(b)(7)(C)
USSS IRM
M: (b)(6),(b)(7)(C)
W: (b)(6),(b)(7)(C)

From: (b)(6),(b)(7)(C) (IRM)
Sent: Wednesday, December 03, 2014 11:16 AM
To: (b)(6),(b)(7)(C) (CTR)
Subject: Universal Face Workstation (UFW) Project

(b)(6),(b)(7)(C)

I have been told that you are the Project Lead from ISD for UFW project. I have some questions about system requirement.

Thanks

(b)(6),(b)(7)(C)
United States Secret Service
Department of Homeland Security
(b)(6),(b)(7)(C) (Desk)
(b)(6),(b)(7)(C) (Mobile)

From: (b)(6) (b)(7)(C) (ISD) (b)(6) (b)(7)(C)
Sent: Thursday, April 09, 2015 1:35 PM
To: (b)(6) (b)(7)(C) (CJIS) (FBI)
Cc: (b)(6) (b)(7)(C) (ISD)
Subject: Facial Recognition Program (USSS)

Hi (b)(6) (b)(7)(C)

I sent you an email on 3/19/2015 regarding the USSS (b)(7)(E)
(b)(7)(E) I was hoping to find out what the next step is to getting this program

operational. Please feel free to call me (b)(6),(b)(7)(C) or email me back as to what I can do to assist. If you are no longer the FBI POC for this, please let me know who to contact. Thanks for your time.

(b)(6),(b)(7)(C)

U.S. Secret Service
Investigative Support Division
(202) 406-5773 (MAIN)
(202) 406-9011 (FAX)

(b)(6),(b)(7)(C)

(DESK)

(b)(6),(b)(7)(C)

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(b)(6),(b)(7)(C)

(ISD)

From: (b)(6),(b)(7)(C) (ISD)
Sent: Wednesday, June 10, 2015 2:30 PM
To: (b)(6),(b)(7)(C)
Subject: Facial Recognition Checklist
Attachments: Connectivity Checklist 5 20 2015 (amended).docx

Hi (b)(6),(b)(7)(C)

Sending back the checklist for the facial recognition program. One highlight left blank, not sure of the question; maybe you can help with that. I'll try and give you a call tomorrow afternoon (on the duty desk then) about scheduling a telecon in the future. Hope all is well you. Any questions and/or comments feel free to hit me up.

Regards,

(b)(6),(b)(7)(C)

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APPENDIX A

REQUEST FOR ACCESS TO NGI IPS

Checklist Number _____
(2015-01)

Date of Request _____

MOU on file _____

Federal request ☒
State request ☐
Local request ☐

Agency Contact Information

Agency CJIS Systems Officer (b)(6),(b)(7)(C)
Agency Address 950 H Street, NW., Washington DC., 20223
Agency Phone 202-406-5708
Requestor Name (b)(6) (b)(7)(C)
Phone Number
Email

Alternate POC
Phone Number
Email

Technical Information

#1 Technical POC (Network) (b)(6) (b)(7)(C)
Phone Number
Email

Technical Information continued

#2 Technical POC (Hardware) (b)(6) (b)(7)(C) (CTR)
Phone Number
Email (b)(6) (b)(7)(C)

Originating Agency Identifier (ORI) Number : DCSS17700

Request for ORI specific to Face Recognition _____

Law Enforcement ORI? (Confirm with NOPU) (b)(6) (b)(7)(C) _____

Email the state/agency will use to submit transactions/receive responses: isddutydesk@usss.dhs.gov

IP Address: (b)(7)(E)

EBTS 10.0 compliant? yes

FACE Services Unit Review

(b)(7)(E)

(NOTE: Below to be used in cases where the CSO is not interested in connectivity but local is)

CSO approval letter for connectivity (for local agency)

Yes ☐ No ☐

FACE, CSG, ITMS

CSG will notify ITMS to turn on TOTs

REQUESTED TOTs: FRS, TXT SRCH, SRB, IRQ, IRR, BDEC, BEDCR

Approved for connectivity Yes ☐ No ☐

Projected Test Date _____

Projected Start Date _____

Date completed _____

Agency notified _____

FACE, CSG, ITMS

Rejected for connectivity _____

Rejected by _____

Reason for rejection _____

NOTES

RIF

REFERRED

From: (b)(6), (b)(7)(C) [redacted] (ISD) (b)(6), (b)(7)(C) [redacted]

Sent: Wednesday, July 29, 2015 1:41 PM

To: (b)(6), (b)(7)(C) [redacted] (CJIS) (FBI)

Subject: RE: Facial Recognition, USSS

(b)(6), (b)(7)(C) [redacted]

Once again, schedules here will not allow us to get a tel con in this week. Will you have any open slots the week of 8/10?

Note: Except for criminal histories generated through the law enforcement databases, none of the information furnished by ISD should be used as probable cause for warrants. Data should be independently corroborated. This data is presented without discrimination.

(b)(6), (b)(7)(C) [redacted]

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Investigative Support Division

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(b)(6), (b)(7)(C) [redacted] (DESK)

REFERRED

From: (b)(6), (b)(7)(C) (ISD) (b)(6), (b)(7)(C)
Sent: Tuesday, July 28, 2015 12:59 PM
To: (b)(6), (b)(7)(C) (CJIS) (FBI)
Subject: Facial Recognition, USSS

H (b)(6), (b)(7)(C)

Long time no talk, been out of the office for about the last month. Anyway, was hoping to touch base with you about moving forward with the facial recognition program. Talked with (b)(6), (b)(7)(C) (who was on a conference call with you and (b)(6), (b)(7)(C)). He had a question about connecting through the CJIS WIN line. Is there a time we can talk some of the connections issues out in an effort to move this program forward? Hope all is well with you!

Note: Except for criminal histories generated through the law enforcement databases, none of the information furnished by ISD should be used as probable cause for warrants. Data should be independently corroborated. This data is presented without discrimination.

(b)(6), (b)(7)(C)

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